

Fund	Purpose	Funding	Eligibility	Structure
<i>Kentucky Commercialization</i> http://ksef.kstc.com/	University based technology commercialization	\$75,000 per year	Faculty	Royalty payback negotiated w/University
<i>Kentucky Enterprise Fund Grant Level</i> www.startupkentucky.com	Business Development Activities Project Based	Up to \$30,000 1:1 cash or in-kind match	Active ICC Client Kentucky based Company 1 application per company	Grant Reimbursement basis Repayment required w/further investment
<i>Kentucky Enterprise Fund Convertible Note</i> www.startupkentucky.com	Business Development	\$250K, \$500K, \$750K	Active ICC Client Kentucky based Company	Convertible Note 1.2 x payback Spend w/in 1 year 70/30 disbursement
<i>Kentucky Enterprise Fund Investment Level</i> www.startupkentucky.com	Business Development	\$250K, \$500K, \$750K 1:1 cash match	Active ICC Client Kentucky based Company Actively raising qualified round of \$500K, \$1M, or \$1.5M	Syndication 100% disbursement for round Spend in 1 year
<i>Rural Innovation Fund</i> www.startupkentucky.com	Proof of concept development or early stage prototype. Biosciences, Environmental/Energy Tech, IT & Communications, Materials Science & Advanced Manufacturing	Level 1: \$30,00 grant 1:1 cash or in-kind match Level 2:\$100K investment 3 rd Party required	Small, rural Kentucky based companies. Outside of Fayette/Jefferson	Level 1: grant reimbursement basis spend in 1 year Level 2: Convertible note 1.2x \$100K over 2yrs \$50k p/yr

Fund	Purpose	Funding	Eligibility	Structure
<i>Kentucky New Energy Ventures</i> www.startupkentucky.com	Alternative Fuel Sources	Up to \$30,000 grant Up to \$700K investment	Kentucky based company or University Faculty	Grant or Investment
<i>SBIR Phase Zero</i> http://ksef.kstc.com/	Development of high quality, competitive SBIR/STTR Phase I Proposal	Up to \$4,000 per award	Kentucky based small tech companies and entrepreneurial faculty	Grant \$500 for draft review
<i>SBIR Phased Double Zero</i> http://ksef.kstc.com	Development of high quality, competitive SBIR/STTR Phase II proposal	Up to \$4,000 per award	Kentucky based SBIR/STTR Phase I awardees' companies	Grant \$500 for draft review
<i>DCI Program-SBIR/STTR Phase I Matching Funds</i> http://www.kintera.org/site/c.deIDLKOUGrF/b.2857105/k.BDB5/Home.htm	Supplement Federal SBIR/STTR Phase I Grant	Up to \$100,000 per award	Kentucky based SBIR/STTR Phase I awardees' companies	Grant, Administered by KSTC
<i>DCI Program-SBIR/STTR Phase II Matching Funds</i> http://www.kintera.org/site/c.deIDLKOUGrF/b.2857105/k.BDB5/Home.htm	Supplement Federal SBIR/STTR Phase II Grant	Up to \$500,000 per award	Kentucky based SBIR/STTR Phase II awardees' companies	Grant, Administered by KSTC

Fund	Purpose	Funding	Eligibility	Structure
<i>R & D Excellence</i> http://ksef.kstc.com <i>Dec 2007/Jan 2008</i>	Emerging Technology Exploratory Adv Research Purpose-Driven Research Emerging Ideas	Varies: Up to \$20,000	University Faculty Kentucky based small tech companies (Emerging Tech only)	Payback 2X negotiated with University
<i>Bluegrass Angels</i>	Provide region's entrepreneurs with seed capital and management guidance	\$75,000 to \$750,000 with ability to syndicate deals up to \$2 million	Regional early-stage companies with scalable business plans	Equity, typically preferred stock
<i>Bluegrass Angel Venture Fund</i>	Sidecar fund managed by Bluegrass Angels	\$50,000 to \$250,000 with ability to syndicate deals up to \$2 million	Regional early-stage companies with scalable business plans	Equity, typically preferred stock
<i>Regional Venture Capital Firms</i>	Growth capital	\$500,000 to \$5+ million	Regional companies with scalable business plans at early to mid-stage market growth	Equity, typically preferred stock
<i>State Business Incentives</i> www.thinkkentucky.com/kyedc/kybizince.aspx	Job creation	case-by-case determination	Kentucky companies or companies relocating to KY creating significant number of new jobs	Tax credits to forgivable loans

Fund	Purpose	Funding	Eligibility	Structure
<p><i>NCIIA Advanced E-Team Grant www.nciia.org/grants.html</i></p>	<p>To bring product/technology from idea to prototype eventually to commercialization</p>	<p>\$1,000 - \$20,000</p>	<p>Faculty adviser team consisting of at least 2 graduate or undergrad students</p>	<p>Grant – no indirects Dec 5, 2008 deadline May 8, 2009 deadline</p>
<p><i>American Heart Association Est. Investigator Award www.americanheart.org</i></p>	<p>Clinical basic sciences, bioengineering biotechnology & public health broadly related to cardio and stroke</p>	<p>\$75,000 per year Up to 2 years \$150,000 total 10% indirects allowed</p>	<p>Faculty at a non profit institution</p>	<p>Grant</p>